NEW ZEALAND

COMPANIES OFFICE
FINANCIAL SERVICE PROVIDERS REGISTER

Register an FSP

Background

Entities and individuals who:

- live or have a place of business in New Zealand; and
- are in the business of providing financial services (in NZ or overseas)

must register to provide that particular financial service on the FSPR.

Those entities and individuals had to register as a financial service provider (FSP) by 1 December 2010 (or 31 March 2011 for financial advisers).

The meaning of 'Financial service' for the purpose of registration can be found in section 5 of the Financial Service Providers (Registration and Dispute Resolution) Act 2008. Common examples of financial services are listed in our FAQs.

Any individuals, companies and other entity types should register as an FSP, if they are providing a financial service.

Some people will be exempt from registering, such as lawyers, chartered accountants, tax agents, or real estate agents who only provide a financial service in the ordinary course of their business, or a non-profit organisation providing free financial services. Check our FAQs for more information about possible exemptions.

Before applying for registration

Before you can apply for registration as an FSP, you should:

1. Join an approved consumer dispute resolution scheme, if required. Check here to see a list of approved schemes, and for general information on dispute resolution schemes and membership; and

2. Register as a user of the FSPR site. To use the FSPR and make your application you must first have an iGovt logon and be a registered user of this site. Detailed instructions on this process are available here.

Once you have your logon and account set up, then you can start your application.

Choose the application type you will require from these three options:

Registering an individual as an FSP

A step by step guide about how to register an individual financial service provider.

Registering a New Zealand registered entity as an FSP

A step by step guide to registering a New Zealand registered entity as a financial service provider.

Registering any other entity or body as an FSP

A step by step guide to registering another entity type (such as an unincorporated body) as a financial service provider.

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