

Searching the FSPR

The FSPR is a publicly available, electronic register, kept by the Registrar of Financial Service Providers. It enables the public to identify registered FSPs, find their business address, view the financial services the FSP is registered to provide, and the dispute resolution scheme they belong to.

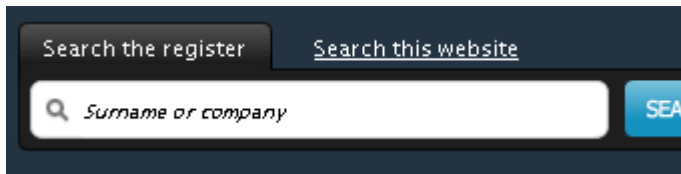
Contents of the register

The register contains the following information about each registered Financial Service Provider (FSP):

- The FSP's name and any trading names
- Business address
- The name and address of the approved dispute resolution scheme the FSP belongs to
- The financial services they are registered to provide, including any licensed service (for example, AFAs or QFEs)
- The name and address of any relevant licensing authority (for example, the Financial Markets Authority for AFAs and QFEs)

How to search

1. Quick search



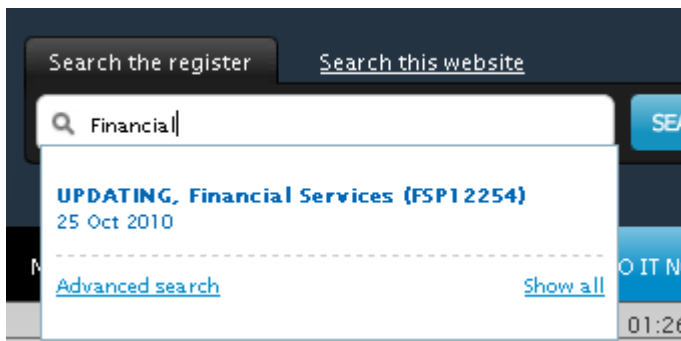
Click on the **Search the register** tab in the top right hand corner of the screen.

To view instant results on screen just begin entering your search criteria into the 'Search' field. To search for an FSP that is an entity you might enter the first word or two of the company's name. For an individual you should begin entering their surname.

A list of possible matches will be displayed on screen and you can click on any one of these results to view the details of that registration.

Click on the SEARCH >> button to display the complete search results for your search criteria.

Example of a quick search result displaying one possible match



2. Advanced Search

From the **Online Services - Do It Now** menu choose **Advanced search**.

You can search for an FSP using either their name or their registration number.

FSP type

- All types (default) or
- choose specific types of interest - for example, only Individuals, New Zealand registered entities, or Other entities.

Search options

- All names including current, previous and aliases (default) or
- choose to search only for the name as a current name, a previous name or an alias.

Financial services

- All financial services (default) or
- choose specific financial services (for example, a deposit taker, a registered bank, etc).

Note | To search for a provider within a specific financial service you must also include search criteria for an FSP's name or part of an address.

- **Address keywords** - To refine your search to include only specific address keywords (such as a street name, city, postal code, or country)